IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Masami Shimizu, et al. Examiner: Unassigned

Serial No: To be assigned Art Unit: Unassigned

Filed: Herewith Docket: 16965

For: IMAGE PICKUP DEVICE Dated: August 18, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U.S. Patent No. 5,836, 867, dated November 17, 1998, issued to Speier et al.;
- 2. U.S. Patent No. 5,490,015, dated February 6, 1996, issued to Umeyama et al.;
- 3. U.S. Patent No. 5,225,941, dated July 6, 1993, issued to Saito, et al.;

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Date of Deposit: August 18, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450, Washington, D.C. 20231 on August 18, 2003.

Dated: August 18, 2003

4. German Patent No. DE 196 31 840 A1 (corresponding to U.S. Patent No. 5,842,972);

5. International Publication No. WO 01/41631 A1, published June 14, 2001; and

6. Japanese Patent Application Laid Open No. 2002-112956, published April 16, 2002 together with an English language abstract.

Applicants are submitting a copy of references 4-6. References 1-3 are not being submitted herewith, as copies of U.S. patents are no longer required per the waiver of 37 C.F.R. § 1.98(a)(2)(i) in effect as of June 30, 2003. The relevance of the above-cited references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Thomas Spinelli

Registration No.: 39,533

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

TS:cm

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Application Number				
To be assigned				
Group Art Unit				

				Herewith				Unassigned			
				U.S. PAT	TENT DOCUM	IENTS					
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE		NAME CLASS			SUBCLASS	1	G DATE ROPRIATE	
		5,836,867	11/17/1998	Speier e	et al.						
		5,490,015	2/6/1996	Umeyaı	ma et al.						
		5,225,941	7/6/1993	Saito et	t al.						
		5,842,972	12/1/1998	Wulfsbe	erg						
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				FOREIG	N PATENT DO	OCUMENTS					
	REF	DOCUMENT NUMBER	DATE		COUNTRY		CLASS	SUBCLASS	Trans YES	nslation NO	
		WO 01/41631 A1	6/14/2001	PCT							
		2002-112956	4/16/2002	Japan					1		
		DE 196 31 840 A1	2/12/1998	German	ny						
				OTHER I	DOCUMENTS	(Including A	uthor, Title, D	ate, Pertinent Pa	ges, Etc.)		
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EXAMINER	R				DATE CONS	IDERED					
EXAMINEI	R: Initi	al if citation considered, whether	r or not citation is in	conforman	ice with MPEP	Section 609; Dr	aw line throu	gh citation if not	in conform	nance and	

Form PTO-A820 (also form PTO-1449)

not considered. Include copy of this form with next communication to applicant.

P09A/REV04

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